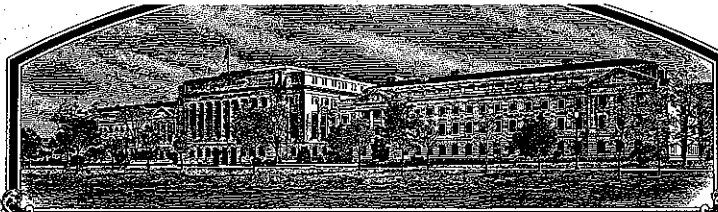


No.

200500042



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

*Seminis Vegetable Seeds, Inc.*

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

LETTUCE

'Red Bull'

*In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this twenty-third day of May, in the year two thousand and seven.*

Attest:

Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

Secretary of Agriculture



U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE  
(Instructions and information collection burden statement on reverse)

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

## 1. NAME OF OWNER

Seminis Vegetable Seeds, Inc.

## 2. TEMPORARY DESIGNATION OR EXPERIMENTAL NAME

PS 06515636

## 3. VARIETY NAME

Red Bull

## 4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country)

2700 Camino del Sol  
Oxnard, CA 93030-7967

## 5. TELEPHONE (include area code)

(805) 647-1572

## 6. FAX (include area code)

(805) 918-2545

## FOR OFFICIAL USE ONLY

200500042

## FILING DATE

December 17, 2004

## 7. IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.)

Corporation

## 8. IF INCORPORATED, GIVE STATE OF INCORPORATION

California

## 9. DATE OF INCORPORATION

4-Jun-1962

## 10. NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE IN THIS APPLICATION. (First person listed will receive all papers)

Sharen Chaffin Carol Miller  
Seminis Vegetable Seeds, Inc.  
37437 State Hwy 16  
Woodland CA 95695

Marcel Bruins  
Seminis Vegetable Seeds, Inc.  
Nude 54D  
6702 DN Wageningen  
The Netherlands  
marcel.bruins@seminis.com  
PH: 31 317 450218; FX 31 317 450217

## FILING AND EXAMINATION FEES:

\$ 3652.00

DATE 12/17/2004

## CERTIFICATION FEE:

\$ 768.00

DATE 5/4/07

## 11. TELEPHONE (Include area code)

(530) 669-6172

## 12. FAX (Include area code)

(530) 666-4426

## 13. E-MAIL

sharen.chaffin@seminis.com

## 14. CROP KIND (Common Name)

Lettuce

## 15. GENUS AND SPECIES NAME OF CROP

Lactuca Sativa L.

## 16. FAMILY NAME (Botanical)

Asteraceae

## 17. IS THE VARIETY A FIRST GENERATION HYBRID?

☐ YES☒ NO

## 18. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse)

- a. ☒ Exhibit A. Origin and Breeding History of the Variety  
b. ☒ Exhibit B. Statement of Distinctness  
c. ☒ Exhibit C. Objective Description of Variety  
d. ☐ Exhibit D. Additional Description of the Variety (Optional)  
e. ☒ Exhibit E. Statement of the Basis of the Owner's Ownership  
f. ☒ Voucher Sample (2,500 viable untreated seeds or, for tuber propagated varieties, verification that tissue culture will be deposited and maintained in an approved public repository)  
g. ☒ Filing and Examination Fee (\$3,652), made payable to "Treasurer of the United States" (Mail to the Plant Variety Protection Office)

## 19. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE SOLD AS A CLASS OF CERTIFIED SEED? See Section 83(a) of the Plant Variety Protection Act

☐ YES (If "yes", answer items 20 and 21 below)☒ NO (If "no", go to item 22)

## 20. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF CLASSES?

☐ YES☐ NOIF YES, WHICH CLASSES? ☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED

## 21. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?

☐ YES☐ NO

IF YES, SPECIFY THE NUMBER 1,2,3, etc. FOR EACH CLASS.

☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED

(If additional explanation is necessary, please use the space indicated on the reverse.)

## 22. HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A HYBRID PRODUCED FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, OR USED IN THE U. S.

OR OTHER COUNTRIES?

☒ YES☐ NO

IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSITION, TRANSFER, OR USE FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use space indicated on reverse.)

## 23. IS THE VARIETY OR ANY COMPONENT OF THE VARIETY PROTECTED BY INTELLECTUAL PROPERTY RIGHT (PLANT BREEDER'S RIGHT OR PATENT)?

☐ YES☒ NO

IF YES, PLEASE GIVE COUNTRY, DATE OF FILING OR ISSUANCE AND ASSIGNED REFERENCE NUMBER. (Please use space indicated on reverse.)

## 24. The owners declare that a viable sample of basic seed of the variety has been furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate.

The undersigned owner(s) is(are) the owner of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 42, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act.

Owner(s) is(are) informed that false representation herein can jeopardize protection and result in penalties.

## SIGNATURE OF OWNER

Sharen Chaffin

## SIGNATURE OF OWNER

NAME (Please print or type)

## NAME (Please print or type)

Sharen Chaffin

## CAPACITY OR TITLE

IP Specialist

## DATE

12/16/04

## CAPACITY OR TITLE

## DATE

**GENERAL:** To be effectively filed with the Plant Variety Protection Office (PVPO), **ALL** of the following items must be received in the PVPO: (1) Completed application form signed by the owner; (2) completed exhibits A, B, C, E; (3) for a seed reproduced variety at least 2,500 viable untreated seeds, for a hybrid variety at least 2,500 untreated seeds of each line necessary to reproduce the variety, or for tuber reproduced varieties verification that a viable (in the sense that it will reproduce an entire plant) tissue culture will be deposited and maintained in an approved public repository; (4) check drawn on a U.S. bank for \$3,652 (\$432 filing fee and \$3,220 examination fee), payable to "Treasurer of the United States" (See Section 97.6 of the Regulations and Rules of Practice.) Partial applications will be held in the PVPO for not more than 90 days, then returned to the applicant as unfilled. Mail application and other requirements to Plant Variety Protection Office, AMS, USDA, Room 401, NAL Building, 10301 Baltimore Avenue, Beltsville, MD 20705-2351. **Retain one copy for your files.** All items on the face of the application are self explanatory unless noted below. Corrections on the application form and exhibits must be initialed and dated. **DO NOT** use masking materials to make corrections. If a certificate is allowed, you will be requested to send a check payable to "Treasurer of the United States" in the amount of \$432 for issuance of the certificate. Certificates will be issued to owner, not licensee or agent.

**Plant Variety Protection Office**

**Telephone: (301) 504-5518**

**FAX: (301) 504-5291**

**Homepage: <http://www.ams.usda.gov/science/pvpo/pvp.htm>**

**ITEM**

- 18a. Give: (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method; (2) the details of subsequent stages of selection and multiplication; (3) evidence of uniformity and stability; and (4) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified
- 18b. Give a summary of the variety's distinctness. Clearly state how this application variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:
- (1) identify these varieties and state all differences objectively;
  - (2) attach statistical data for characters expressed numerically and demonstrate that these are clear differences; and
  - (3) submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant comparisons which clearly indicate distinctness.
- 18c. Exhibit C forms are available from the PVPO Office for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 18d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- 18e. Section 52(5) of the Act requires applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
19. If "Yes" is specified (*seed of this variety be sold by variety name only, as a class of certified seed*), the applicant **MAY NOT** reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, the applicant may change the choice. (See *Regulations and Rules of Practice*, Section 97.103).
22. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
23. See Section 55 of the Act for instructions on claiming the benefit of an earlier filing date.

**21. CONTINUED FROM FRONT** (Please provide a statement as to the limitation and sequence of generations that may be certified.)

**22. CONTINUED FROM FRONT** (Please provide the date of first sale, disposition, transfer, or use for each country and the circumstances, if the variety (including any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or other countries.)

US: November 2004 Sale

**23. CONTINUED FROM FRONT** (Please give the country, date of filing or issuance, and assigned reference number, if the variety or any component of the variety is protected by intellectual property right (Plant Breeder's Right or Patent).)

**NOTES:** It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's representative during the life of the application/certificate. There is no charge for filing a change of address. The fee for filing a change of ownership or assignment or any modification of owner's name is specified in Section 97.175 of the regulations. (See Section 101 of the Act, and Sections 97.130, 97.131, 97.175(h) of the Regulations and Rules of Practice.)

**To avoid conflict with other variety names in use, the applicant must check the appropriate recognized authority. For example, for agricultural and vegetable crops, contact: Seed Branch, AMS, USDA, Room 213, Building 306, Beltsville Agricultural Research Center--East, Beltsville, MD 20705. Telephone: (301) 504-8089. <http://www.ams.usda.gov/lsg/seed.htm>**

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 3.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

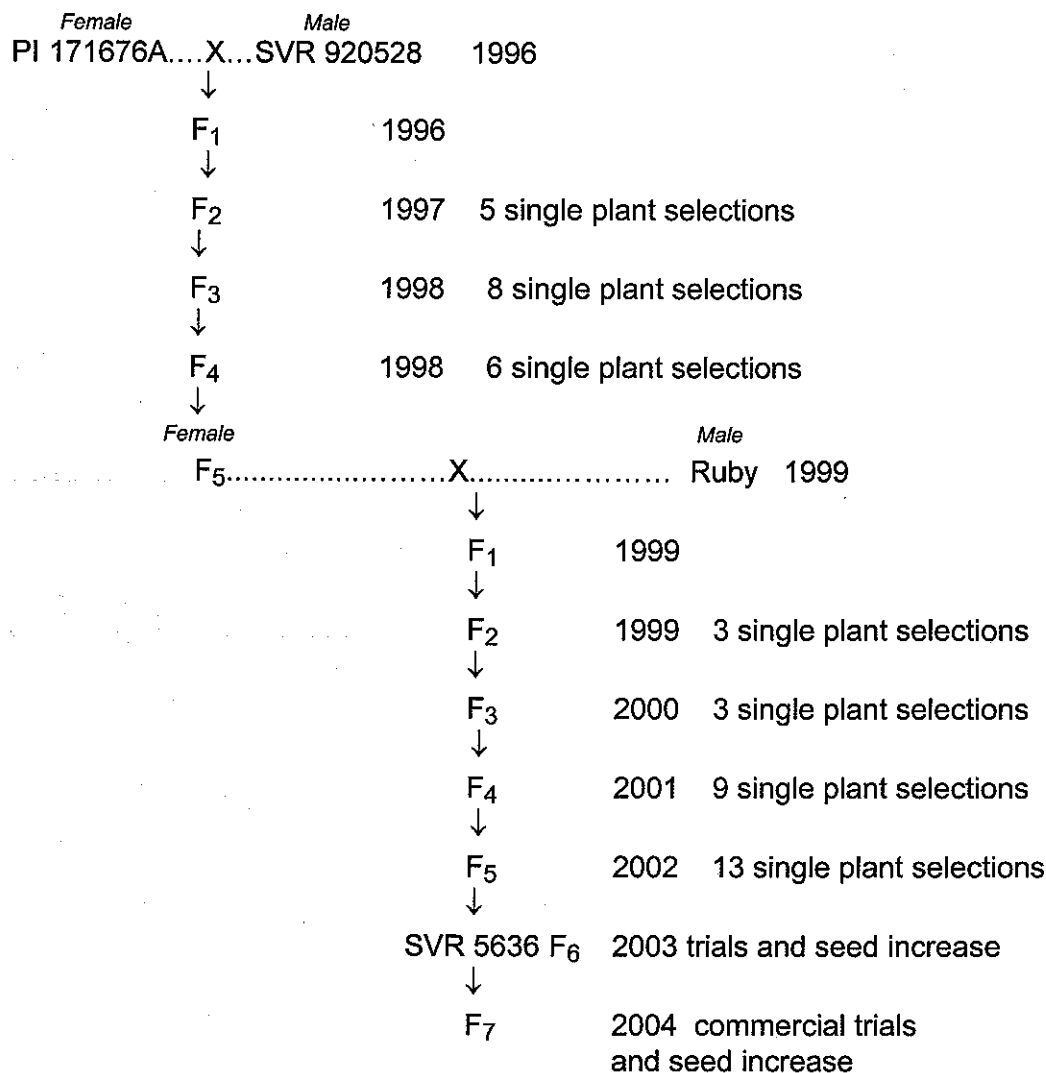
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To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, Room 326-W, Whitten Building, 14th and Independence Avenue, SW, Washington, DC 20250-9410 or call 202-720-5964 (voice and TDD). USDA is an equal opportunity provider and employer.

ST-470 (02-10-2003) designed by the Plant Variety Protection Office with Word 2000. Replaces former versions of ST-470, which are obsolete.

Exhibit A. Origin and Breeding History of Lettuce SVR 5636

## Pedigree of SVR 5636



SVR 5636 originated in 1996 with the cross PI 171676A by SVR 920528. PI 171676A was a greenleaf lettuce, obtained from the USDA-ARS Plant Introduction collection at Pullman, WA, and SVR 920528 was a red Batavian breeding line, obtained from the Seminis breeding station at Enkhuizen, the Netherlands. Cv. Ruby was a dark red pigmented loose leaf lettuce released by the USDA several decades ago.

Single plant selections were made in subsequent years in the areas of intended commercialization. By F<sub>5</sub> a group of 13 plants were judged uniform and bulked for trialing and seed increase. An F<sub>6</sub> mass was trialed during 2003 and a seed increase was produced in the San Joaquin Valley the same year. Commercial trials and F<sub>7</sub> seed increases have been made during this year.

The breeding work was carried out by Dr. William Waycott at the Seminis Vegetable Seed's Research Station at Arroyo Grande, California. Replicated field trials were conducted in production areas in the Desert Southwest and the central California coast area of the North America during 2003.

The breeding method employed was pedigree selection, using both single plant selection and mass selection practices. The selection criteria for SVR 5636 were to establish a cultivar with increased uniformity, improved heading ability, increased plant weight and leaf count, and resistance to premature stem elongation (bolting), when compared to the most similar variety, cv. Red Tide.

In replicated trials of SVR 5636 during the last two years covering generations  $F_6$  and  $F_7$ , we have seen neither genetic variants nor off-types in more than 4,000 plants, indicating that this variety is genetically uniform and stable.

Exhibit B. Novelty Statement of Lettuce SVR 5636

SVR 5636 is described as a red loose leaf adapted to all of the lettuce growing areas of California and Arizona. SVR 5636 can be sown throughout the year, however size at harvest time during January and February in growing areas of Southern California and Arizona may produce smaller sized heads, as well as during the summer months in the cooler areas of Central California (north Monterey County).

Phenotypically, SVR 5636 is distinct from its most similar commercial cultivar, cv. Red Tide (Table 1). In field tests in 2003 and 2004, SVR 5636 was smaller in size (39.2 cm vs. 46.2 cm) yet heavier in weight (657 g vs. 587 g) than cv. Red Tide. And, although the two varieties had the same green color, intensity of anthocyanin (red) was slightly stronger for SVR 5636 (RHS color chart 200A vs. 200A/B). SVR 5636 had a higher leaf count (25.0 vs. 14.5 useable leaves per head) and better resistance to bolting (72 vs. 64 days to 15 cm), than cv. Red Tide.

The data presented here are statistically different at the 95% confidence level, exhibiting a range of means for plant diameter from 38.97 to 39.38 for SVR 5636 and from 44.93 to 45.37 for cv. Red Tide, of means for plant weight from 655.36 to 662.14 for SVR 5636 and from 582.95 to 591.05 for cv. Red Tide, and of means for leaves per head from 24.70 to 25.20 for SVR 5636 and from 14.20 to 14.71 for cv. Red Tide, using the 0.95 probability of generating confidence intervals (CI) that contains the means.

Table 1. Evaluation of SVR 5636 and the most similar cultivar, cv. Red Tide, for several important characters.

Trial No	Cultivar	Rep No.	Green Color <sup>a</sup>	Red Color <sup>a</sup>	Plant Diam. <sup>b</sup>	Number of Plant Weight <sup>c</sup>	Leaf Leaves per Head <sup>d</sup>	No. of Days to 15 cm <sup>e</sup>
Trial 1: Evaluated 4 March 2004 Yuma, AZ	SVR 5636:	Rep. 1	146B	200A	39.1±0.8	688±14.6	25.4±1.0	70
		Rep. 2	146B	200A	38.2±0.9	641±15.1	24.7±1.2	73
		Average:	146B	200A	38.7±0.9	665±14.9	25.1±1.1	72
		cv. Red Tide:	Rep. 1	146B	200A/B	44.2±1.0	603±18.2	14.8±1.3
		Rep. 2	146B	200A/B	45.0±1.1	581±17.8	13.9±1.0	64
		Average:		200A/B	44.6±1.1	592±18.0	14.5±1.2	64
Trial 2: Evaluated 26 Aug 2004 Salinas Valley, CA	SVR 5636:	Rep. 1	146B	200A	40.0±0.9	639±15.4	24.9±1.1	71
		Rep. 2	146B	200A	39.4±0.9	667±15.5	24.8±1.2	70
		Average:	146B	200A	39.7±0.9	653±15.5	24.9±1.2	71
		cv. Red Tide:	Rep. 1	146B	200A/B	45.5±1.0	566±18.3	14.8±0.9
		Rep. 2	146B	200A/B	45.9±0.9	598±18.1	14.3±1.1	63
		Average:	146B	200A/B	45.7±1.0	582±18.2	14.6±1.0	64
Range of variation among means of statistically significant differences at the 95% level using the confidence interval [CI = mean ± (SDXSE)]								
SVR 5636			38.97 to 39.38			655.36 to 662.14	24.70 to 25.20	
cv. Red Tide			44.93 to 45.37			582.95 to 591.05	14.20 to 14.71	

<sup>a</sup> Color evaluation was done using the Royal Horticultural Society color chart, U.K.

<sup>b</sup> Mean plant diameter using two sowing dates of 20 plants per replication in cm ± standard deviation.

<sup>c</sup> Mean plant weight using two sowing dates of 20 plants per replication in grams ± standard deviation.

<sup>d</sup> Mean number of usable leaves per head, greater than 15cm in length, using two sowing dates of 20 plants per replication in grams ± standard deviation.

<sup>e</sup> Mean number of days until stem reaches 15 cm using two replications of 20 plants each.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 2.4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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U. S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE AND TECHNOLOGY  
PLANT VARIETY PROTECTION OFFICE  
BELTSVILLE, MD 20705

EXHIBIT C  
(Lettuce)

OBJECTIVE DESCRIPTION OF VARIETY  
LETTUCE (*Lactuca sativa* L.)

NAME OF APPLICANT(S) <u>Seminis Vegetable Seed, Inc</u>	FOR OFFICIAL USE ONLY PVPO NUMBER <u>200500042</u>
ADDRESS (Street and No. or RD No., City, State, and ZIP Code) <u>2700 Camino del Sol</u> <u>Oxnard CA 93030-7967</u>	VARIETY NAME
	EXPERIMENTAL DESIGNATION <u>SVR 5636</u>

Place the appropriate number that describes the varietal character in the boxes below. Place a zero in the first box (eg.    or   ) when number is either 99 or less or 9 or less. Measured data should be the mean of an appropriate number (at least 20) of well spaced plants. Royal Horticultural Society or any recognized color standard may be used to determine plant colors.

The location of the test area is:

Color System Used:

SPECIFIC VARIETIES USED FOR COMPARISON AS CHECK VARIETIES IN THIS APPLICATION: Use standard regional check varieties which are adapted to your area. One of the comparison varieties must be the most similar variety used in Exhibit B.

Application Variety (a1.) SVR 5636 Red Bull Most Similar Variety (c1.) RED TIDE

Standard Regional Check Variety (c2.) WALDMANN'S GREEN

1. PLANT TYPE: (See list of suggested check varieties page 4.)

01= Cutting/Leaf	04= Cos or Romaine	07= Salinas Group	10= Latin
02= Butterhead	05= Great Lakes Group	08= Eastern (Ithaca) Group	11= OTHER (Specify below):
03= Bibb	06= Vanguard Group	09= Stem	

(a1.)   (c1.)   (c2.)

2. SEED:

(a1.) <input type="text" value="1"/>	COLOR	(a1.) <input type="text" value="1"/>	LIGHT DORMANCY	(a1.) <input type="text" value="1"/>	HEAT DORMANCY
(c1.) <input type="text" value="1"/>	1= White (Silver Grey)	(c1.) <input type="text" value="1"/>	1= Light Required	(c1.) <input type="text" value="1"/>	1= Susceptible
(c2.) <input type="text" value="2"/>	2= Black (Grey Brown)	(c2.) <input type="text" value="1"/>	2= Light Not Required	(c2.) <input type="text" value="1"/>	2= Not Susceptible
	3= Brown (Amber)				

3. COTYLEDON TO FOURTH LEAF STAGE: NOTE: Provide a color photograph or photocopy of the fourth leaf from 20 day old seedling grown under optimal conditions.

SHAPE OF COTYLEDONS: 1=Broad 2= Intermediate 3= Spatulate

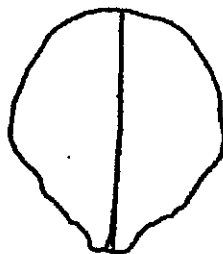
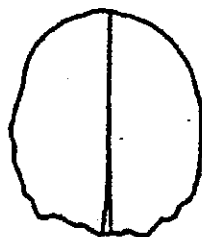
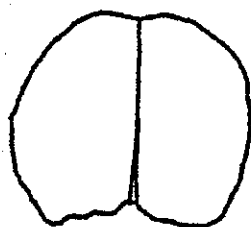
(a1.)  (c1.)  (c2.)



## 3. Cotyledon to Fourth Leaf Stage (Continued)

Exhibit C (Lettuce) Page 2 of 8

## SHAPE OF FOURTH LEAF:

(a1.) (c1.) (c2.) 

1.

2.

3.

4.

5.

6.

## LENGTH/WIDTH INDEX OF FOURTH LEAF: L/W x 10

(a1.) (c1.) (c2.) 

## APICAL MARGIN:

1= Entire

2= Crenate/Gnawed

3= Finely Dentate

4= Moderately Dentate

5= Coarsely Dentate

6= Incised

7= Lobed

8= OTHER (Specify below):

(a1.) (c1.) (c2.) 

## BASAL MARGIN:

(Use the options for Apical Margin above)

(a1.) (c1.) (c2.) 

## UNDULATION:

1= Flat

2= Slight

3= Medium

4= Marked

(a1.) (c1.) (c2.) 

## GREEN COLOR:

1= Yellow Green

2= Light green

3= Medium Green

4= Dark Green

5= Blue Green

6= Silver Green

7= Grey Green

(a1.) (c1.) (c2.) 

## ANTHOCYANIN:

## DISTRIBUTION:

1= Absent

2= Margin Only

3= Spotted

4= Throughout

5= OTHER (Specify below):

(a1.) (c1.) (c2.) 

## CONCENTRATION:

1= Light

2= Moderate

3= Intense

(a1.) (c1.) (c2.) 

8

3. Cotyledon to Fourth Leaf Stage (Continued)

ROLLING:

1= Absent                      2= Present

(a1.)                       (c1.)                       (c2.)

CUPPING:

1= Uncupped                      2= Slight                      3= Markedly

(a1.)                       (c1.)                       (c2.)

REFLEXING:

1= None                      2= Apical Margin                      3= Lateral Margins

(a1.)                       (c1.)                       (c2.)

4. MATURE LEAVES (Observe Harvest-Mature Outer Leaves)

NOTE: Provide color photo of a harvest-mature leaf which accurately shows color and margin characteristics.

MARGIN:

INCISION DEPTH:  
(deepest penetration of  
the margin)

1= Absent/Shallow (Dark Green Boston)                      2= Moderate (Vanguard)  
3= Deep (Great Lakes 659)

(a1.)                       (c1.)                       (c2.)

INDENTATION:  
(finest divisions of the  
margin)

1= Entire (Dark Green Boston)                      4= Crenate (Vanguard)  
2= Shallowly Dentate (Great Lakes 65)                      5= OTHER (Specify): \_\_\_\_\_  
3= Deeply Dentate (Great Lakes 659)

(a1.)                       (c1.)                       (c2.)

UNDULATIONS OF THE  
APICAL MARGIN.

1= Absent/ Slight (Dark Green Boston)                      2= Moderate (Vanguard)  
3= Strong (Great Lakes 659)

(a1.)                       (c1.)                       (c2.)

GREEN COLOR:

1= Very Light Green (Bibb)                      3= Medium Green (Great Lakes)                      5= Very Dark Green  
2= Light green (Minetto)                      4= Dark Green (Vanguard)                      6= OTHER (Specify): \_\_\_\_\_

(a1.)                       (c1.)                       (c2.)

ANTHOCYANIN:

DISTRIBUTION:

1= Absent                      3= Spotted (Calif. Cream Butter)                      5= OTHER (Specify below): \_\_\_\_\_  
2= Margin Only (Big Boston)                      4= Throughout (Prize Head)

(a1.)                       (c1.)                       (c2.)

CONCENTRATION:

1= Light (Iceberg)                      2= Moderate (Prize Head)                      3= Intense (Ruby)

(a1.)                       (c1.)                       (c2.)

9

## 4. Mature Leaves (Continued)

SIZE:	1= Small	2= Medium	3= Large
	(a1.) <input type="text" value="2"/>	(c1.) <input type="text" value="2"/>	(c2.) <input type="text" value="2"/>
GLOSSINESS:	1= Dull (Vanguard)	2= Moderate (Salinas)	3= Glossy (Great Lakes)
	(a1.) <input type="text" value="2"/>	(c1.) <input type="text" value="2"/>	(c2.) <input type="text" value="2"/>
BLISTERING:	1= Absent/Slight (Salinas)	2= Moderate (Vanguard)	3= Strong (Prize Head)
	(a1.) <input type="text" value="2"/>	(c1.) <input type="text" value="2"/>	(c2.) <input type="text" value="2"/>
LEAF THICKNESS:	1= Thin	2= Intermediate	3= Thick
	(a1.) <input type="text" value="1"/>	(c1.) <input type="text" value="1"/>	(c2.) <input type="text" value="1"/>
TRICHOMES:	1= Absent (Smooth)	2= Present (Spiny)	
	(a1.) <input type="text" value="1"/>	(c1.) <input type="text" value="1"/>	(c2.) <input type="text" value="1"/>

## 5. PLANT

SPREAD OF FRAME LEAVES: (a1.)  cm (c1.)  cm (c2.)  cm

HEAD DIAMETER: (market trimmed with single cap leaf)

(a1.)  cm (c1.)  cm (c2.)  cm

HEAD SHAPE: 1= Flattened 2= Slightly Flattened 3= Spherical 4= Elongate 5= Non-Heading 6= OTHER (Specify): \_\_\_\_\_

(a1.)  (c1.)  (c2.)

HEAD SIZE CLASS: 1= Small 2= Medium 3= Large

(a1.)  (c1.)  (c2.)

HEAD PER CARTON:

(a1.)  (c1.)  (c2.)

HEAD WEIGHT:

(a1.)  g (c1.)  g (c2.)  g

HEAD FIRMNESS: 1= Loose 2= Moderate 3= Firm 4= Very Firm

(a1.)  (c1.)  (c2.)

10

## 6. BUTT

SHAPE:

1= Slightly Concave

2= Flat

3= Rounded

(a1.) (c1.) (c2.) 

MIDRIB:

1= Flattened (Salinas)

2= Moderately Raised

3= Prominently Raised (Great Lakes 659)

(a1.) (c1.) (c2.) 

## 7. CORE

DIAMETER AT BASE OF HEAD:

(a1.)  mm(c1.)  mm(c2.)  mm

RATIO OF HEAD DIAMETER/CORE DIAMETER:

(a1.) (c1.) (c2.) 

CORE HEIGHT FROM BASE OF HEAD TO APEX:

(a1.)  mm(c1.)  mm(c2.)  mm8. BOLTING (Give First Water Date: 16 APR 04)

NOTE: First Water Date is the date seed first receives adequate moisture to germinate. This can and often does equal the planting date.

NUMBER OF DAYS FROM FIRST WATER DATE TO SEED STALK EMERGENCE (summer conditions):

(a1.) (c1.) (c2.) 

BOLTING CLASS:

1= Very Slow

3= Medium

5= Very Rapid

2= Slow

4= Rapid

(a1.) (c1.) (c2.) 

HEIGHT OF MATURE SEED STALK:

(a1.)  cm(c1.)  cm(c2.)  cm

SPREAD OF BOLTER PLANT: (at widest point)

(a1.)  cm(c1.)  cm(c2.)  cm

BOLTER LEAVES:

1= Straight

2= Curved

(a1.) (c1.) (c2.) 

MARGIN:

1= Entire

2= Dentate

(a1.) (c1.) (c2.)

8. Bolting (Continued)

COLOR:

1= Light Green      2= Medium Green      3= Dark Green  
(a1.)       (c1.)       (c2.)

BOLTER HABIT:

TERMINAL INFLORESCENCE:

1= Absent      2= Present  
(a1.)       (c1.)       (c2.)

LATERAL SHOOTS:

1= Absent      2= Present  
(a1.)       (c1.)       (c2.)

BASAL SIDE SHOOTS:

1= Absent      2= Present  
(a1.)       (c1.)       (c2.)

9. MATURITY

(earliness of harvest-mature head formation)  
NOTE: Complete this section for at least one season.

SEASON	APPLICATION VARIETY no. of days <sup>1</sup>			MOST SIMILAR VARIETY no. of days <sup>1</sup>			STANDARD REGIONAL CHECK VARIETY no. of days <sup>1</sup>		
Spring		5	8		5	2		5	5
Summer		4	8		4	3		4	5
Fall		6	6		6	0		6	1
Winter		7	2		6	5		6	5

Give planting date(s) and location(s):

Spring: 4 MARCH 04 YUMA, AZ  
Summer: 26 AUGUST 04 SALINAS VALLEY, CA  
Fall: 19 October 04 Yuma AZ  
Winter: 8 December 04 Yuma AZ

1. First Water Date to Harvest

10. ADAPTATION:

PRIMARY REGIONS OF ADAPTATION (tested and proven adapted):

(0= Not Tested      1= Not Adapted      2= Adapted)  
 Southwest (CA and/or AZ desert)       West Coast       Northeast  
 North-central       Southeast      OTHER (Specify):

SEASON:

Spring (Area CALIFORNIA)       Fall (Area CALIF., ARIZONA)  
 Summer (Area CALIFORNIA)       Winter (Area CALIF., ARIZONA)

GREENHOUSE:      0= Not Tested      1= Not Adapted      2= Adapted

SOIL TYPE:      1= Mineral      2= Organic      3= Both

## 11. VIRAL DISEASES

1= Immune

3= Resistant

5= Moderately Resistant/Moderately Susceptible 7= Susceptible

9= Highly Susceptible

Big Vein

(a1.)

7

(c1.)

7

(c2.)

7

Lettuce Mosaic

(a1.)

7

(c1.)

7

(c2.)

7

Cucumber Mosaic

(a1.)

(c1.)

(c2.)

Tomato Bushy Stunt, cause of dieback

(a1.)

7

(c1.)

3

(c2.)

7

Turnip Mosaic

(a1.)

(c1.)

(c2.)

Beet Western Yellows

(a1.)

(c1.)

(c2.)

Lettuce Infectious Yellows

(a1.)

(c1.)

(c2.)

OTHER (Specify):

(a1.)

(c1.)

(c2.)

## 12. FUNGAL/BACTERIAL DISEASES

1= Immune

3= Resistant

5= Moderately Resistant/Moderately Susceptible 7= Susceptible

9= Highly Susceptible

Corky Root Rot

(a1.)

7

(c1.)

7

(c2.)

7

(Races: CAI)

Downy Mildew

(a1.)

7

(c1.)

7

(c2.)

7

(Races: CAVI, CAVII)

Powdery Mildew

(a1.)

(c1.)

(c2.)

Sclerotinia Drop

(a1.)

(c1.)

(c2.)

Bacterial Soft Rot  
(Pseudomonas spp. and others)

(a1.)

(c1.)

(c2.)

Botrytis (Grey Mold)

(a1.)

(c1.)

(c2.)

Verticillium Wilt

(a1.)

(c1.)

(c2.)

Bacterial Leaf Spot

(a1.)

(c1.)

(c2.)

Anthracnose

(a1.)

(c1.)

(c2.)

OTHER (Specify):

(a1.)

(c1.)

(c2.)

## 13. INSECTS

1= Immune

3= Resistant

5= Moderately Resistant/Moderately Susceptible 7= Susceptible

9= Highly Susceptible

Cabbage Loopers

(a1.)

(c1.)

(c2.)

Root Aphids

(a1.)

(c1.)

(c2.)

Green Peach Aphid

(a1.)

(c1.)

(c2.)

Lettuce Aphid

(a1.)

(c1.)

(c2.)

Pea Leafminer

(a1.)

(c1.)

(c2.)

OTHER (Specify):

(a1.)

(c1.)

(c2.)

## 14. PHYSIOLOGICAL STRESSES

1= Immune

3= Resistant

5= Moderately Resistant/Moderately Susceptible 7= Susceptible

9= Highly Susceptible

Tipburn

(a1.)

3

(c1.)

5

(c2.)

5

Heat

(a1.)

3

(c1.)

5

(c2.)

5

Drought

(a1.)

(c1.)

(c2.)

Cold

(a1.)

7

(c1.)

5

(c2.)

5

Salt

(a1.)

(c1.)

(c2.)

Brown Rib  
(Rib Discoloration, Rib Blight)

(a1.)

(c1.)

(c2.)

OTHER (Specify):

(a1.)

(c1.)

(c2.)

## 15. POSTHARVEST STRESS

1= Immune

3= Resistant

5= Moderately Resistant/Moderately Susceptible 7= Susceptible

9= Highly Susceptible

Pink Rib	(a1.) <input type="checkbox"/>	(c1.) <input type="checkbox"/>	(c2.) <input type="checkbox"/>
Russet Spotting	(a1.) <input type="checkbox"/>	(c1.) <input type="checkbox"/>	(c2.) <input type="checkbox"/>
Rusty Brown Discoloration	(a1.) <input type="checkbox"/>	(c1.) <input type="checkbox"/>	(c2.) <input type="checkbox"/>
Internal Rib Necrosis (Blackheart, Grey Rib, Grey Streak)	(a1.) <input type="checkbox"/>	(c1.) <input type="checkbox"/>	(c2.) <input type="checkbox"/>
Brown Stain	(a1.) <input type="checkbox"/>	(c1.) <input type="checkbox"/>	(c2.) <input type="checkbox"/>

## 16. BIOCHEMICAL OR ELECTROPHORETIC MARKERS

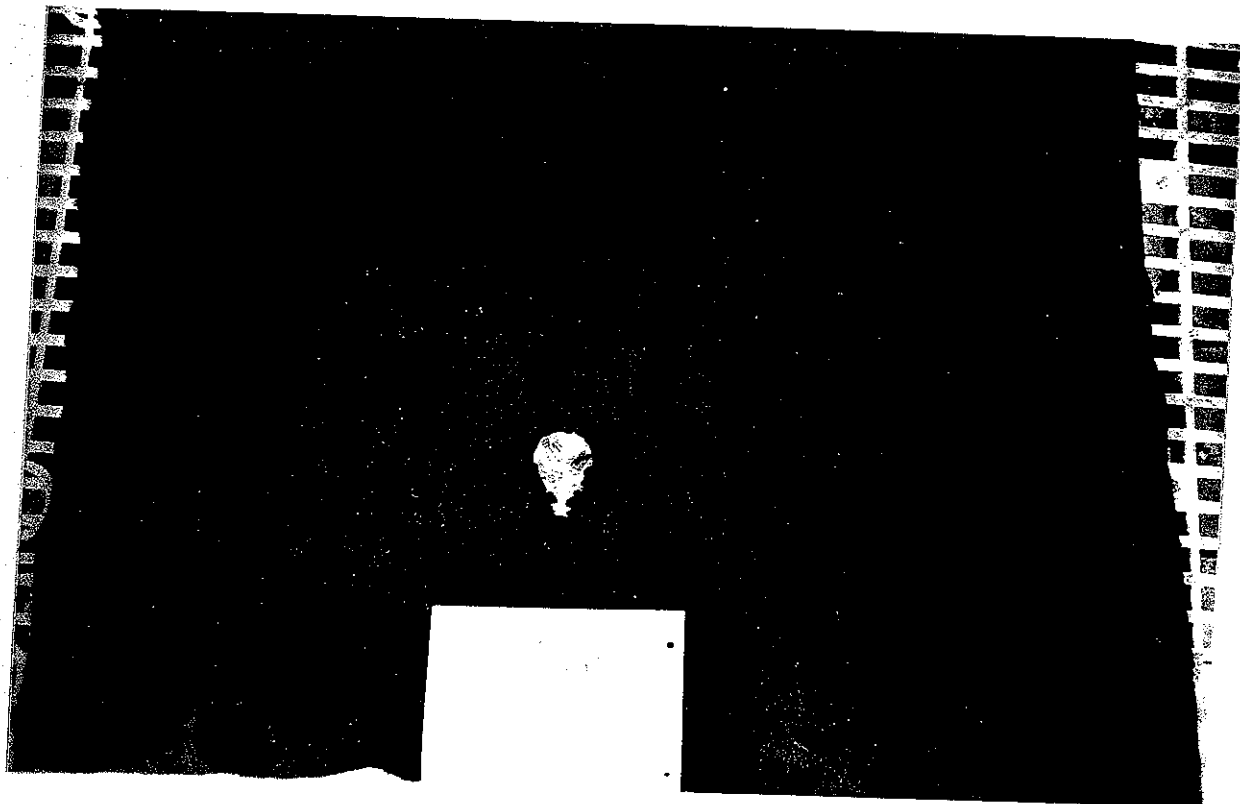
## 17. COMMENTS

## Suggested Check Varieties

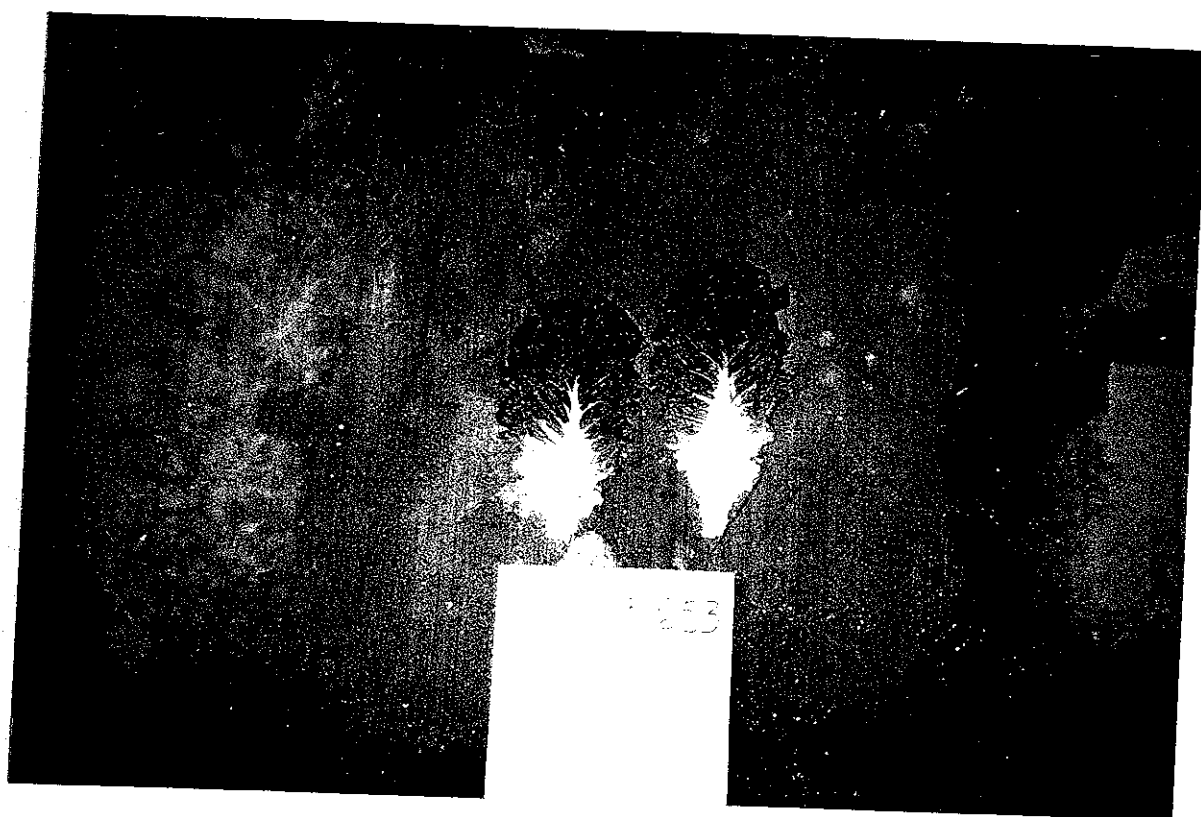
	TYPE	CHECK VARIETY
1	Cutting/Leaf	Waldmann's Green
2	Butterhead	Dark Green Boston
3	Bibb	Bibb
4	Cos or Romaine	Parris Island
5	Great Lakes Group	Great Lakes 659-700
6	Vanguard Group	Vanguard
7	Salinas Group	Salinas
8	Eastern Group	Ithaca
9	Stem	Celtuce
10	Latin	Little Gem

## REFERENCES

- Bowring, J.D.C., 1969. "The Identification of Varieties of Lettuce (*Lactuca sativa* L.)". Journal of the National Institute of Agricultural Botany 11:499-520. National Institute of Agricultural Botany, Cambridge, UK
- Davis, R.M., K.V. Subbarao, R.N. Raid, and E.A. Kurtz., 1997. "Compendium of Lettuce Diseases". APS Press, St. Paul, MN
- Michelmores, R. W., J. M. Norwood, D. S. Ingram, I. R. Crute and P. Nicholson. 1984. The inheritance of virulence in *Bremia lactucae* to match resistance factors 3, 4, 5, 6, 8, 9, 10 and 11 in lettuce (*Lactuca sativa*). Plant Pathology 32:176-177.
- Norwood, J. M., R. W. Michelmores, I. R. Crute and D. S. Ingram. 1983. "The inheritance of specific virulence of *Bremia lactucae* (Downy mildew) to match R-factors 1, 2, 4, 6 and 11 in lettuce (*Lactuca sativa*)". Plant Pathology 32:176-177.
- Rodenburg, C.M., et al., 1960. "Varieties of Lettuce. An International Monograph," Instituut voor de Verdeling van Tuinbouwgewassen (IVT), Wageningen, NL
- Ryder, E.J., 1999. "Lettuce, Endive and Chicory". CABI Publications, Wallingford, UK



Fourth leaf from 20-day old seedlings, SVR 5636200500042



Harvest-mature leaf, SVR 5636



U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). The information is held confidential until the certificate is issued (7 U.S.C. 2426).

**EXHIBIT E****STATEMENT OF THE BASIS OF OWNERSHIP**

1. NAME OF APPLICANT(S) Seminis Vegetable Seeds, Inc.	2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER PS 06515636	3. VARIETY NAME
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country) 2700 Camino del Sol Oxnard, CA 93030-7967	5. TELEPHONE (Include area code) (805) 647-1572	6. FAX (Include area code) (805) 918-2545
7. PVPO NUMBER 2005 00042		

8. Does the applicant own all rights to the variety? Mark an "X" in the appropriate block. If no, please explain.



YES



NO

9. Is the applicant (individual or company) a U.S. national or a U.S. based company? If no, give name of country.



YES



NO

10. Is the applicant the original owner?



YES



NO

If no, please answer one of the following:

a. If the original rights to variety were owned by individual(s), is (are) the original owner(s) a U.S. National(s)?



YES



NO

If no, give name of country

b. If the original rights to variety were owned by a company(ies), is (are) the original owner(s) a U.S. based company?



YES



NO

If no, give name of country

11. Additional explanation on ownership (Trace ownership from original breeder to current owner. Use the reverse for extra space if needed):

The variety named in this application was developed by the Seminis Vegetable Seeds, Inc., employee (breeder) named below. Unless otherwise stated, all rights to the varieties developed by Seminis Vegetable Seeds, Inc. are assigned to the Company by agreement or by operation of law. No rights to such invention, discovery or development are retained by the employee(s).

Employee (Breeder): Bill Waycott

Site Location: Arroyo Grande, CA

**PLEASE NOTE:**

Plant variety protection can only be afforded to the owners (not licensees) who meet the following criteria:

1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed the final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definitions.

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